SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
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☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

1. Name and Address of Reporting Person*
KAUFMAN IVAN
(Last) (First) (Middle)
C/O ARBOR REALTY TRUST, INC.
333 EARLE OVINGTON BLVD, STE. 900
(Street)
UNIONDALE NY 11553
(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
ARBOR REALTY TRUST INC [ABR]
Foreign Trading Symbol

3. Date of Earliest Transaction (Month/Day/Year)
08/05/2026

4. If Amendment, Date of Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
☑ Director ☐ 10% Owner
☑ Officer (give title below) ☐ Other (specify below)
COB, CEO and President

6. Individual or Joint/Group Filing (Check Applicable Line)
☑ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Special Voting Preferred Stock, par value $0.01 per share	08/05/2026		P[1]		375,000	A	[1]	375,000	D	
Special Voting Preferred Stock, par value $0.01 per share								80,161	I	By IK Main LLC, wholly owned and managed by reporting person
Special Voting Preferred Stock, par value $0.01 per share								10,483,930[2]	I	By Arbor Commercial Mortgage, LLC

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Partnership Common Units	[1]	08/05/2026		P[1]		375,000		08/05/2026	[3]	Common Stock, par value $0.01 per share	375,000	[1]	375,000	D	
Partnership Common Units	[3]							[3]	[3]	Common Stock, par value $0.01 per share	[3]		80,161	I	By IK Main LLC, wholly owned and managed by reporting person
Partnership Common Units	[3]							[3]	[3]	Common Stock, par value $0.01 per share	[3]		10,483,930[2]	I	By Arbor Commercial Mortgage, LLC

Explanation of Responses:

1. In connection with estate planning, on August 5, 2026, Mr. Kaufman purchased 375,000 Partnership Common Units and Special Voting Preferred Stock with a fair value of approximately $1.9 million from a trust that was set up for estate planning purposes and administered by an independent trustee. The trustee of the trust engaged an external third party valuation firm to assist the trust in deriving the fair market value, which was determined to be $5.17 per share.

2. Mr. Kaufman disclaims beneficial ownership of these shares of common stock, except to the extent of his pecuniary interest therein.

3. Not applicable.

/s/ Ivan Kaufman 08/05/2026
** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.